

Docket No. 277881US6



2653

TFCW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akihiro MOROHASHI

SERIAL NO: 09/665,786

GAU: 2653

RCE FILED: June 7, 2004

EXAMINER: Thang V. Tran

FOR: COMMUNICATION SYSTEM AND ITS METHOD AND COMMUNICATION APPARATUS AND ITS METHOD

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

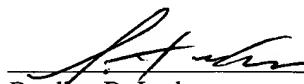
- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 277881US-6	SERIAL NO. 09/665,786		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Akihiro MOROHASHI					
		RCE FILING DATE June 7, 2004		GROUP 2653			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,559,945	24 Sept 1996	BEAUDET et al.			
	AB	5,583,993	10 Dec 1996	FOSTER et al.			
	AC	5,616,876	1 Apr 1997	CLUTS			
	AD	5,710,922	20 Jan 1998	ALLEY et al.			
	AE	5,721,949	24 Feb 1998	SMITH et al.			
	AF	5,732,216	24 Mar 1998	LOGAN et al.			
	AG	5,739,451	14 Apr 1998	WINKSY et al.			
	AH	5,835,721	10 Nov 1998	DONAHUE et al.			
	AI	5,835,732	10 Nov 1998	KIKINIS et al.			
	AJ	5,864,868	26 Jan 1999	CONTOIS			
	AK	5,918,303	29 Jun 1999	YAMAURA et al.			
	AL	5,923,757	13 Jul 1999	HOCKER et al.			
	AM	6,006,274	21 Dec 1999	HAWKINS et al.			
	AN	6,041,023	21 Mar 2000	LAKHANSINGH			
	AO	6,125,369	26 Sep 2000	WU et al.			
	AP	6,154,214	28 Nov 2000	UYEHARA et al.			
	AQ	6,172,948	9 Jan 2001	KELLER et al.			
	AR	6,208,044	27 Mar 2001	VISWANADHAM et al.			
	AS	6,216,131	10 Apr 2001	LIU et al.			
	AT	6,248,946	19 Jun 2001	DWEK			
	AU	6,272,545	7 Aug 2001	FLANAGIN et al.			
	AV	6,295,541	25 Sep 2001	BODNAR et al.			
	AW	6,331,867	18 Dec 2001	EBERHARD et al.			
	AX	6,341,316	22 Jan 2002	KLOBA et al.			
	AY	6,345,256	5 Feb 2002	MILSTED et al.			
	AZ	6,351,736	26 Feb 2002	WEISBERG et al.			
	BA	6,493,758	10 Dec 2002	McCLAIN			
	BB	6,505,160	7 Jan 2003	LEVY et al.			
	BC	6,523,124	18 Feb 2003	LUNSFORD et al.			
	BD	6,587,403	1 Jul 2003	KELLER et al.			
	BE	6,587,404	1 Jul 2003	KELLER et al.			
	BF	6,603,506	5 Aug 2003	OGAWA et al.			
	BG	6,621,768	16 Sep 2003	KELLER et al.			
	BH	6,636,773	21 Oct 2003	TAGAWA et al.			
	BI	6,636,873	21 Oct 2003	CARINI et al.			
					<input checked="" type="checkbox"/> Additional References sheet(s) attached		
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	BJ	6,658,496	2 Dec 2003	MINAKATA et al.			
	BK	6,665,803	16 Dec 2003	LUNSFORD et al.			
	BL	6,718,348	6 Apr 2004	NOVAK et al.			
	BM	6,731,312	4 May 2004	ROBBIN			
	BN	6,784,925	31 Aug 2004	TOMAT et al.			
	BO	6,785,542	31 Aug 2004	BLIGHT et al.			
	BP	6,794,566	21 Sep 2004	PACHET			
	BQ	6,801,964	5 Oct 2004	MAHDAVI			
	BR	6,871,009	22 Mar 2005	SUZUKI			
	BS	2001/0021053	13 Sep 2001	COLBOURNE et al.			
	BT	2001/0041021	15 Nov 2001	BOYLE et al.			
	BU	2001/0052123	13 Dec 2001	KAWAI			
	BV	2001/0056434	27 Dec 2001	KAPLAN et al.			
	BW	2002/0002413	3 Jan 2002	TOKUE			
	BX	2002/0013784	31 Jan 2002	SWANSON			
	BY	2002/0046315	18 Apr 2002	MILLER et al.			
	BZ	2002/0055934	9 May 2002	LIPSCOMB et al.			
	CA	2002/0116082	22 Aug 2002	GUDORF			
	CB	2002/0138606	26 Sep 2002	ROBISON			
	CC	2002/0161865	31 Oct 2002	NGUYEN			
	CD	2002/0174269	21 Nov 2002	SPURGAT et al.			
	CE	2003/0037254	20 Feb 2003	FISCHER et al.			
	CF	2003/0046434	6 Mar 2003	FLANAGIN et al.			
	CG	2003/0074457	17 Apr 2003	KLUTH			
	CH	2003/0079038	24 Apr 2003	ROBBIN et al.			
	CI	2003/0167318	4 Sep 2003	ROBBIN et al.			
	CJ	2003/0206723	6 Nov 2003	ANDO et al.			
	CK	2004/0001395	1 Jan 2004	KELLER et al.			
	CL	2004/0001396	1 Jan 2004	KELLER et al.			
	CM	2004/0055446	25 Mar 2004	ROBBIN et al.			
	CN	2004/0076086	22 Apr 2004	KELLER et al.			
	CO	2004/0225762	11 Nov 2004	POO			
	CP						
	CQ						
	CR						
					<input checked="" type="checkbox"/> Additional References sheet(s) attached		
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	CS						
	CT						
	CU						
	CV						
	CW						
	CX						
	CY						
	CZ						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
DA	WO 95/16950	22 Jun 1995	WIPO				
DB	WO 99/54870	28 Oct 1999	WIPO (with English Abstract)				X
DC	WO 00/25154	4 May 2000	WIPO				
DD	WO 01/33569	10 May 2001	WIPO				
DE	WO 01/37257	25 May 2001	WIPO (with English Abstract)				X
DF	WO 01/67753	13 Sep 2001	WIPO				
DG	WO 02/25610	28 Mar 2002	WIPO				
DH	WO 03/023786	20 Mar 2003	WIPO				
DI	WO 03/036541	1 May 2003	WIPO (corresponds to EP 1 440 402)				
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DK	EP 0 820 179	21 Jan 1998	EUROPE				X
DL	EP 0 899 929	3 Mar 1999	EUROPE				
DM	EP 0 917 077	19 May 1999	EUROPE				
DN	EP 0 982 732	1 Mar 2000	EUROPE				
DO	EP 1 028 425	16 Aug 2000	EUROPE				
DP	EP 1 037 180	20 Sep 2000	EUROPE				
DQ	EP 1 098 211	9 May 2001	EUROPE				
DR	EP 1 098 212	9 May 2001	EUROPE				
DS	EP 1 152 397	7 Nov 2001	EUROPE				
DT	EP 1 154 403	14 Nov 2001	EUROPE				
DU	JP 58-17576	1 Feb 1983	JAPAN				X
DV	JP 04-271396	28 Sep 1992	JAPAN (with English Abstract)				X
DW	JP 2735731	9 Jan 1998	JAPAN				X
DX	JP 11-306057	5 Nov 1999	JAPAN (with English Abstract)				X
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DY	JP 2001-076464	23 Mar 2001	JAPAN (with English Abstract)		X
DZ	JP 2001-143443	25 May 2001	JAPAN (with English Abstract)		X
EA	CA 2 464 102	1 May 2003	CANADA		
EB	GB 2 103 865	23 Feb 1983	UNITED KINGDOM		
EC	GB 2 387 001	1 Oct 2003	UNITED KINGDOM		
ED					
EE					
EF					
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)					
EG	"SDMI Secure Digital Music Initiative," <u>SDMI Portable Device Specification</u> , Part 1, Ver. 1.0, 8 Jul. 1999, pgs. 1-35, XP000997330.				
EH	"Kogata Memory Card de Ongaku Chosakuken wo Mamoru," Nikkei Electronics, Vol. 739, Mar. 22, 1999, pgs. 49-53.				
EI	"Ongaku Haishin Matta Nashi," Nikkei Electronics, Vol. 738, Mar. 8, 1999, pgs. 87-111.				
EJ	Miniman, Jared, "Applian Software's Replay Radio and Player v.1.02," pocketnow.com, Product Review (Jul. 31, 2001). Jan. 24, 2006 < <a href="http://www.pocketnow.com/index.php?a=portal_detail&amp;t=reviews&amp;id=139">http://www.pocketnow.com/index.php?a=portal_detail&amp;t=reviews&amp;id=139</a> >.				
EK	Firewire (also known as Sony's iLink or IEEE 1394), Wikipedia (1995). Jan. 24, 2006 < <a href="http://en.wikipedia.org/wiki/Firewire">http://en.wikipedia.org/wiki/Firewire</a> >.				
EL	De Herrera, Chris, "Microsoft ActiveSync 3.1," Ver. 1.02 (Oct. 13, 2000). Jan. 24, 2006 < <a href="http://www.pocketpcfaq.com/wce/activesync3.1.htm">http://www.pocketpcfaq.com/wce/activesync3.1.htm</a> >.				
EM	Birrell, Andrew, "Personal Jukebox (PJB)," Compaq Systems Research Center and PAAD (Oct. 13, 2000). Jan. 24, 2006 < <a href="http://birrell.org/andrew/talks/pjb-overview.ppt">http://birrell.org/andrew/talks/pjb-overview.ppt</a> >				
EN	Butler, Travis, "Portable MP3: The Nomad Jukebox," Tidbits.com (Jan. 8, 2001). Jan. 24, 2006 < <a href="http://db.tidbits.com/getbits.acgi?tbart=06261">http://db.tidbits.com/getbits.acgi?tbart=06261</a> >.				
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EP	Engst, Adam C., "SoundJam Keeps on Jammin'," Tidbits.com (Jun. 19, 2000). Jan 24, 2006 < <a href="http://db.tidbits.com/getbits.acgi?tbart=05988">http://db.tidbits.com/getbits.acgi?tbart=05988</a> >.				
EQ	Musicmatch, "Musicmatch and Xing Technology Introduce Musicmatch Jukebox," May 18, 1998. Jan. 24, 2006 < <a href="http://www.musicmatch.com/info/company/press/releases/?year=1998&amp;release=2">http://www.musicmatch.com/info/company/press/releases/?year=1998&amp;release=2</a> >.				
ER	"Nomad Jukebox, User Guide (On-line Version)," Creative Technology, Ltd., Ver. 1.0, Feb. 2001. Jan. 24, 2006 < <a href="http://ccftp.creative.com/manualdn/Manuals/TSD/2424/Jukebox.pdf">http://ccftp.creative.com/manualdn/Manuals/TSD/2424/Jukebox.pdf</a> >.				
ES	"Apple's iPod Available in Stores Tomorrow," Press Release, Apple Computer, Inc. (Nov. 9, 2001). Jan. 25, 2006 < <a href="http://www.apple.com/pr/library/2001/nov/09ipod.html">http://www.apple.com/pr/library/2001/nov/09ipod.html</a> >.				
ET	"Apple Introduces iTunes - World's Best and Easiest to Use Jukebox Software," Press Release, Apple Computer, Inc. (Jan. 9, 2001). Jan. 25, 2006 < <a href="http://www.apple.com/pr/library/2001/jan/09itunes.html">http://www.apple.com/pr/library/2001/jan/09itunes.html</a> >.				
EU	"Apple Announces iTunes 2," Press Release, Apple Computer, Inc. (Oct. 23, 2001). Jan. 25, 2006 < <a href="http://www.apple.com/pr/library/2001/oct/23itunes.html">http://www.apple.com/pr/library/2001/oct/23itunes.html</a> >.				
EV	Chakarova, Mimi, et al., "Digital Still Cameras - Downloading Images to a Computer," Multimedia Reporting and Convergence. Jan. 25, 2006 < <a href="http://journalism.berkeley.edu/multimedia/tutorials/stillcams/downloading.html">http://journalism.berkeley.edu/multimedia/tutorials/stillcams/downloading.html</a> >.				
					<input type="checkbox"/> Additional References sheet(s) attached
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